

FORM B - INCLUDED ENTITIES OR HOLDING COMPANIES (ENGAGED, WHOLLY OR IN PART, IN RELEVANT ACTIVITIES)

SECTION 1: DETAILS OF INCLUDED ENTITY OR HOLDING COMPANY (ENGAGED, WHOLLY OR IN PART, IN RELEVANT ACTIVITIES)

1 Name of included entity:

2 Are you a Holding Company (engaged, wholly or in part, in relevant activities)? **Yes** **No**

3 Registration number:

4 Tax identification number (TIN):

5 Type: International Business Companies Act (Chapter 270)

The Companies Act (Chapter 250)

6 Are you regulated? **Yes** **No**

7 Registered Office of Entity:

8 Principal Office of Entity (Location of business):

8.1 Secondary office of entity, if applicable

SECTION 2: DETAILS OF CONTROLLING PERSON(S)

9

DIRECTOR(S)			
<i>(Make an entry in respect of every director)</i>			
Name	Address	Email address	Phone #

Where the director is a corporate entity, supply the corporate documents (i.e. Articles and Memorandum of Association and the Certificate of Incorporation).

9.1

CORPORATE DIRECTOR(S) - where the director is a corporate body		
<i>(Make an entry in respect of every corporate director)</i>		
Name & Address of Corporate Directors	Jurisdiction of Incorporation	Date of Incorporation

9.1.1

SHAREHOLDER(S)					
<i>(Make an entry in respect of every shareholder)</i>					
Name	Address	Phone #	Email address	Jurisdiction of tax residence	% of shares

9.1.2

CORPORATE SHAREHOLDER(S) - where the shareholder is a corporate body			
<i>(Make an entry in respect of every corporate shareholder)</i>			
Name & Address of Corporate Shareholders	Jurisdiction of Incorporation	Date of Incorporation	% of shares

SECTION 3: RELEVANT BUSINESS ACTIVITIES

10 Relevant activity/activities you are conducting:

- i. Banking business
- ii. Insurance business
- iii. Fund management business
- iv. Financing and leasing business
- v. Headquarters business
- vi. Distribution and service centre business
- vii. Shipping business
- viii. As a holding company, engaged, in one of the activities listed under i. to vii.
- ix. Regulated Entities under the IFSC Act (select an option from the drop down list provided below)

Yes/No



11 If **YES** is selected for question 10 viii, specify the relevant activity/activities and state what percentage of the Holding Company is performing the relevant activity.

11.1 Are any of your subsidiary companies engaged in an activity under 10(i) to (vii) above? If yes, specify which relevant activity:

11.1.1 Description of the relevant business activity:

SECTION 4: SUBSTANTIAL ECONOMIC PRESENCE REQUIREMENT INFORMATION

A. GROSS INCOME

12	Type of Gross Income	US (dollar value)	In what country is the gross income generated?

B. EXPENSES AND ASSETS

12.1	Type of Expenses	US (dollar value)	Type of Assets	US (dollar value)	Where are the assets?

C. EMPLOYEES

13 Total number of full time employees.

13.1 How many are employed in Belize?

D. CORE INCOME GENERATING ACTIVITIES

14 Provide a description of your core income generating activities.

SECTION 5: DESCRIPTION OF MANAGEMENT AND CONTROL ACTIVITIES

15 How many meetings of the Board of Directors (BOD) are conducted in Belize?

15.1 List the types of decisions made in Belize:

15.1.1 How many meetings of the BOD are conducted elsewhere?

15.1.2 List the types of decisions made elsewhere.

		Yes	No
16	Is there a quorum of the BOD present for meetings in Belize?	<input type="checkbox"/>	<input type="checkbox"/>

16.1 Provide information in respect to the following: Number of BOD _____ Quorum _____

Yes **No**

16.1.1 Is there a quorum of the BOD present for meetings via telephone or electronic means?

16.1.2 Are minutes of the meeting recorded for strategic decisions by the included entity?

16.1.3 Are all records and minutes of the meetings kept in Belize?

SECTION 6: BOARD OF DIRECTORS

17 Does the BOD have the necessary knowledge and expertise to discharge its duties?

Name of Director	Expertise

SECTION 7: OUTSOURCING

18 If applicable, detail the portion of your core income generating activities that are outsourced.

18.1 Are the outsourced core income generating activities taking place in Belize?

Yes **No**

18.1.1 Are you able to monitor and control the execution of the core income generating activities that are outsourced?

18.1.2 If applicable, provide the name and the address of the entity/entities to whom core income generating activities are outsourced.

18.1.3 If applicable, append additional information.

SECTION 8: CERTIFICATION OF THE INCLUDED ENTITY OR HOLDING COMPANY (ENGAGED, WHOLLY OR IN PART, IN RELEVANT ACTIVITIES (must be completed and signed either by a director (that is not nominee) or by a majority shareholder).

I certify that the particulars set out in this Form are:

- (a) true and correct to the best of my knowledge, information and belief; and
- (b) the management and control of the above named entity is not outsourced to any person and remains with the said entity.

Signature:

Date:

Print name: